

**DESERT STAR, INC.**

**APPENDIX J:  
SCHEDULING COORDINATOR  
APPLICATION AND CERTIFICATION**

**2/9/01 DRAFT**

**TABLE OF CONTENTS**

<b>J.1</b>	<b>INTRODUCTION .....</b>	<b>1</b>
<b>J.2</b>	<b>DUTIES AND RESPONSIBILITIES OF THE SC .....</b>	<b>1</b>
<b>J.3</b>	<b>REQUIREMENTS FOR SC CERTIFICATION .....</b>	<b>2</b>
<b>J.4</b>	<b>CERTIFICATION PROCEDURE .....</b>	<b>3</b>
<b>J.5</b>	<b>SCHEDULING COORDINATOR OPERATIONS .....</b>	<b>4</b>
<b>J.6</b>	<b>BILLING AND SETTLEMENTS .....</b>	<b>5</b>
<b>J.7</b>	<b>ON-GOING OBLIGATION TO MAINTAIN CERTIFICATION .....</b>	<b>5</b>
<b>J.8</b>	<b>PUBLIC NOTICE .....</b>	<b>5</b>
<b>J.9</b>	<b>SUSPENSION OR TERMINATION OF SC RIGHTS AND PRIVILEGES .....</b>	<b>5</b>
<b>J.10</b>	<b>SUBMITTAL OF SERVICE FILINGS .....</b>	<b>6</b>
<b>J.11</b>	<b>CHANGES TO SC INFORMATION .....</b>	<b>6</b>
<b>J.12</b>	<b>FINANCIAL SECURITY OBLIGATIONS AND REQUIREMENTS .....</b>	<b>6</b>
<b>J.13</b>	<b>SCHEDULING COORDINATOR AGREEMENT .....</b>	<b>8</b>
<b>J.14</b>	<b>MANDATORY PROVISION OF SC SERVICES .....</b>	<b>8</b>

Attachment J-1:	Scheduling Coordinator Certification Application Form
Attachment J-2:	Scheduling Coordinator Service Filing Form
Attachment J-3:	Notice of Change to Scheduling Coordinator Information Form

## APPENDIX J: Scheduling Coordinator Application and Certification

### J.1 INTRODUCTION

An Eligible Customer seeking Transmission Services on the DSTAR Grid shall be represented in Desert STAR, Inc. (“Desert STAR” or “DSTAR”) by a DSTAR-certified Scheduling Coordinator (“SC”). Each metering point, whether for an End-Use Customer Load or a Generator, and each Unmetered Authorized Use or Load, shall be represented by only one SC. Financial settlements for Transmission Services shall be made only between DSTAR and SCs.

### J.2 DUTIES AND RESPONSIBILITIES OF THE SC

- a) Each SC shall fulfill all of the following duties and responsibilities:
  - i) Maintain its certification in accordance with this Appendix J;
  - ii) Maintain records and respond to requests for information in accordance with the requirements of the DSTAR Tariff and its associated Protocols and Operating Procedures, as applicable;
  - iii) Provide proof of financial capability, and maintain its financial capability, for meeting its financial responsibilities with DSTAR;
  - iv) Supply Settlement Ready Information to DSTAR in a timely manner and in the form required, in accordance with Appendix M, Metering, and settle and satisfy its financial obligations with DSTAR, in accordance with Appendix G, Settlements and Billings;
  - v) Maintain current information on file with a Utility Distribution Company (“UDC”) or Settlement Data Management Agent (“SDMA”) that describes each of the Loads that it represents, including the nature of transactions that it seeks to make on the DSTAR Grid on behalf of each of the Loads that it represents;
  - vi) Maintain current information on file with DSTAR that describes each of the Resources (Generating Units, Dispatchable Demands and imports/exports) that it represents, including the nature of transactions that it seeks to make on the DSTAR Grid on behalf of each of the Resources that it represents (see Attachment J-2);
  - vii) Sign the multi-party revenue allocation and equalization agreement that is an integral part of Appendix O, Transmission Rate Design; and **[This will have to be reviewed once the final transmission rate design is agreed.]**
  - viii) Comply with the requirements of all applicable terms and conditions of the DSTAR Tariff and its Appendices, and with all terms and conditions of all applicable DSTAR Protocols and Operating Procedures.
- b) Each SC shall fulfill one or more of the additional following duties and responsibilities as appropriate to the service(s) and transaction(s) that are specified in Section B of Attachment J-2, the SC’s “Scheduling Coordinator Service Filing Form.”
  - i) Submit Balanced Schedules of Demands and Resources, including information on the Transmission Rights necessary to implement the Balanced Schedules, adjusted for

Distribution and Transmission Losses determined in accordance with Appendix K, in the DSTAR Day-Ahead Scheduling Process and Schedule Adjustment Process as described in Appendix B;

- ii) Operate or contract for the services of a Resource Operations Center (“ROC”) to receive Dispatch Instructions for the Generating Units and/or Dispatchable Demands that it represents and to instruct them to respond in a timely manner; and, comply with all applicable requirements contained in Appendix C;
- iii) Cause the Loads and Resources that it represents to comply with the Load Profiling and/or metering requirements of Appendix L and/or Appendix M, as applicable, and confirm to DSTAR that such compliance has been accomplished;
- iv) Certify to DSTAR that appropriately certified SDMAs and meter readers are employed in order to supply Settlement Ready Information to DSTAR for the Loads and Resources that it represents, in accordance with Appendices G, L and M;
- v) Supply to DSTAR Demand and Resource forecasts for the Loads and Resources that it represents, as required by the DSTAR planning procedures contained in Appendix P, Transmission Planning and Expansion;
- vi) Supply to DSTAR proposed Maintenance Outage requests for the Resources that it represents in accordance with Appendix F; and
- vii) Represent Eligible Customers and/or Ancillary Service Resources in the provision of and payment for Ancillary Services pursuant to Appendix D.

### **J.3 REQUIREMENTS FOR SC CERTIFICATION**

In order to be certified as a DSTAR-certified SC, each applicant shall satisfy all of the following requirements:

- a) The SC shall submit, maintain and have declared approved by DSTAR its “Scheduling Coordinator Certification Application Form,” and shall maintain as current the information in this form, as attached to this Appendix J as Attachment J-1 and as it may be modified from time to time;
- b) The SC shall submit, maintain and have accepted for implementation by DSTAR its “Scheduling Coordinator Service Filing Form,” and shall maintain as current the information in this form, as attached to this Appendix J as Attachment J-2 and as it may be modified from time to time;
- c) The SC shall submit, maintain and have accepted for implementation by DSTAR changes to information contained in its “Scheduling Coordinator Certification Application Form” (Attachment J-1) through the use of the “Notice of Change to Scheduling Coordinator Information Form,” as attached to this Appendix J as Attachment J-3 and as it may be modified from time to time;
- d) DSTAR shall develop reasonable objective standards (e.g., hardware and software standards) for the fulfillment of SC duties and responsibilities. Each SC shall:
  - i) demonstrate to the reasonable satisfaction of DSTAR that it is capable of fulfilling all of the duties and responsibilities of an SC as described in Section J.2.a; and
  - ii) demonstrate to the reasonable satisfaction of DSTAR that it is capable of fulfilling the additional duties

and responsibilities of an SC as described in Section J.2.b in order to provide the services and execute the transactions it has specified in Sections B and C of Attachment J-2; and iii) demonstrate to DSTAR's reasonable satisfaction that it is capable of performing all of the tasks and functions required by the DSTAR Tariff and its associated Protocols and Operating Procedures required for the transactions that it proposes to accomplish on the DSTAR Grid;

- e) Provide to DSTAR satisfactory evidence of its financial capability as required by Section J.12 of this Appendix J;
- f) Certify to DSTAR that each entity that it represents is an Eligible Customer, including itself if it transacts business on its own account;
- g) Demonstrate to the satisfaction of DSTAR that it can perform all of the functions required of an SC or has contractual arrangements with one or more certified SCs and/or ROCs, which SCs and/or ROCs shall perform the tasks and functions that are delegated to it/them as described in Section J.5 of this Appendix J and meet the requirements of the Standards of Conduct of the Federal Energy Regulatory Commission ("FERC" or the "Commission") and the DSTAR Code of Conduct;
- h) Demonstrate that it has installed, or shall install prior to the date of commencement of service as an SC, the hardware and software required for functional interface with DSTAR; and demonstrate that it has executed, or shall execute prior to the date of commencement of service as an SC, the applicable software licensing agreements required for conducting business with DSTAR;
- i) Demonstrate that it is capable of completing and confirming two-way fed-wire system transfers, or provide for alternative payment arrangements that are acceptable to DSTAR; and
- j) Demonstrate that it has installed, or shall install prior to the date of commencement of service as an SC, the technology appropriate and necessary for communication with DSTAR.

#### **J.4 CERTIFICATION PROCEDURE**

The procedure for making application to become a DSTAR-certified SC shall include the following:

- a) Application - At least sixty (60) Calendar Days prior to the proposed date of commencement of service as an SC, the applicant shall make application for certification by submitting to DSTAR by mail or in person a completed "Scheduling Coordinator Certification Application Form" (Attachment J-1) and, using projected data, a completed "Scheduling Coordinator Service Filing Form" (Attachment J-2, see also Section J.10.b). The application shall be accompanied by the prescribed non-refundable application fee indicated in Attachment J-1. Blank versions of these forms may be requested from DSTAR in physical form, or may be retrieved electronically from the DSTAR Website. All information provided by the applicant on these forms shall be considered private and confidential.

- b) Notice of Receipt of Application - Within three (3) Business Days of receiving the application, DSTAR shall notify the applicant through written or electronic notification that it has received the application.
- c) Application Screening - Within five (5) Business Days of receiving the application, DSTAR shall notify the applicant as to whether the applicant has submitted all materials required for application. If the application is complete, DSTAR shall deem it a completed application. In the event the application is deficient, DSTAR shall notify the applicant of the deficiency, explain the deficiency, and make a request for additional information or other remedy.
- d) Remedy of Deficient Application - Within five (5) Business Days of receiving the DSTAR notice of deficiency, or such longer period as DSTAR may prescribe, the applicant shall provide information necessary to remedy the application deficiency. If the deficiency is remedied to the satisfaction of DSTAR, DSTAR shall deem it a completed application and so notify the applicant. In the event the applicant fails to provide all requested information in a timely manner, the application shall be rejected by DSTAR and the applicant shall be notified of the rejection.
- e) Application Approval or Rejection - DSTAR shall approve or reject each completed application within five (5) Business Days of its being declared a completed application. Upon approval, DSTAR shall notify the applicant through the issuance of an SC application approval letter. Upon rejection, DSTAR shall notify the applicant of the rejection of the application by application rejection letter, stating the reason(s) for rejection. DSTAR may provide suggestions for rehabilitation of the application. Reasons for rejection may include, but not be limited to, any of the following:
  - i) Incomplete information;
  - ii) Non-compliance with third-party obligations;
  - iii) Non-compliance with certification standards; and/or
  - iv) Non-compliance with other requirements in this Appendix J or the DSTAR Tariff.
- f) Completion of Certification - Certification of the applicant shall be completed when its application is approved, the applicant is assigned an SC identification number by DSTAR, and the applicant executes a DSTAR Scheduling Coordinator Agreement (“SCA”), any required software licensing agreements, and the multi-party agreement referred to in Section J.2.a.vii. **[This last part may need to be revised, depending on the final transmission rate design.]**
- g) Appeal - The applicant whose application is rejected may appeal the rejection by employing the Alternate Dispute Resolution (“ADR”) process contained in the DSTAR Tariff.
- h) New Application - The applicant whose application is rejected may submit a new application for certification at any time.

## J.5 SCHEDULING COORDINATOR OPERATIONS

Each SC shall designate single point of contact, including another SC or ROC, that shall be responsible for operational communications with DSTAR and, if applicable, ROCs. The

designated point of contact shall have authority to commit and bind the SC. Except for an SC that schedules only non-dispatchable Demand(s) supplied by Resources procured from other SCs through inter-SC trades, and that has transferred the responsibility for scheduling any Transmission Rights to those SCs from which it is procuring Resources, each SC shall operate and maintain a scheduling office (ROC) for the purpose of fulfilling its operational obligations. The scheduling office shall be manned and in operation twenty-four (24) hours per day, seven (7) days per week. For those Trading Days during which the SC has not scheduled DSTAR Grid operations, the scheduling office requirement shall be waived. However, to the extent that the SC represents Generating Units, not scheduled to provide Energy or Ancillary Services on a Trading Day when the scheduling office is not manned, that DSTAR needs to dispatch during a System Emergency, DSTAR shall directly contact the applicable Generating Units.

## **J.6 BILLING AND SETTLEMENTS**

Each SC shall maintain a contractual relationship with the Eligible Customers that it represents, and shall provide to DSTAR Settlement Ready Data as required by Appendices G, L and M on behalf of each of the Eligible Customers that it represents. Each SC shall be responsible for payment of all charges for services provided under the DSTAR Tariff. DSTAR shall have no relationship with Eligible Customers for purposes of settlement and billing.

## **J.7 ON-GOING OBLIGATION TO MAINTAIN CERTIFICATION**

Each DSTAR-certified SC has an ongoing obligation to maintain its certification as a DSTAR-certified SC by fulfilling the requirements of Sections J.2 and J.3 of this Appendix J.

## **J.8 PUBLIC NOTICE**

DSTAR shall maintain on the DSTAR Website a current list of DSTAR-certified SCs.

## **J.9 SUSPENSION OR TERMINATION OF SC RIGHTS AND PRIVILEGES**

DSTAR may suspend or terminate the rights and privileges of an SC that are provided by the DSTAR Tariff for any of the following reasons:

- a) Failure to provide timely or accurate information as required by the DSTAR Tariff and its associated Protocols and Operating Procedures;
- b) Failure to comply with terms and conditions of the DSTAR Tariff and its associated Protocols, and Operating Procedures;
- c) Failure to meet its financial obligations to DSTAR in timely fashion;
- d) Failure to report a material change in its application or service filing information that may adversely affect the reliability or safety of the DSTAR Grid, or the financial security of DSTAR; and/or
- e) Failure to maintain its certification as a DSTAR-certified SC.

DSTAR shall notify the SC of its intention to suspend or terminate the rights and privileges of the SC by written notice in a manner and within a time in keeping with the nature and extent of

the reason(s) for suspension or termination. In the event of a filing of such notification of suspension or termination, DSTAR shall also notify all Operating Entities.

#### **J.10 SUBMITTAL OF SERVICE FILINGS**

Submittal and review of a “Scheduling Coordinator Service Filing Form” (Attachment J-2) shall be accomplished as described below. The initial filing of this form shall be accomplished by paper submittal (see Section J.4), and subsequent filings shall be provided in electronic form:

- a) At the time of application for certification, a completed “Scheduling Coordinator Service Filing Form” shall be filed by the applicant using projected data; and
- b) No later than ten (10) Business Days prior to the commencement of SC services that it is not currently providing, a completed “Scheduling Coordinator Service Filing Form” shall be filed by the SC; and
- c) No later than three (3) Business Days after receiving the “Scheduling Coordinator Service Filing Form,” DSTAR shall notify the SC by written or electronic communication that it has received the form; and
- d) In the event that DSTAR finds the “Scheduling Coordinator Service Filing Form” to be acceptable, and no later than five (5) Business Days after receiving the form, DSTAR shall notify the SC by written communication that its form is completed and is accepted for implementation.
- e) In the event that DSTAR finds the “Scheduling Coordinator Service Filing Form” to be deficient, and no later than five (5) Business Days prior to the commencement of the proposed SC services, DSTAR shall notify the SC of a deficiency in its form with an explanation of the deficiency.
- f) An SC may remedy deficiencies in its “Scheduling Coordinator Service Filing Form” by submission of a new form containing information sufficient to remedy the deficiency.
- g) DSTAR will create a Protocol to allow certain minor changes to the services an SC is providing without requiring the filing of a new “Scheduling Coordinator Service Filing Form.”

#### **J.11 CHANGES TO SC INFORMATION**

Changes to SC information shall be accomplished through the filing by the SC of the “Notice of Change to Scheduling Coordinator Information Form” (Attachment J-3) as attached to this Appendix J, which shall be filed with DSTAR no later than five (5) Business Days prior to the effective date for the change in SC information.

Filing shall be done electronically.

#### **J.12 FINANCIAL SECURITY OBLIGATIONS AND REQUIREMENTS**

Each SC shall maintain a demonstrable financial capability satisfactory to DSTAR.



- a) This obligation for demonstrable financial capability may be satisfied by one of the following:
- i) Maintenance of a credit rating and/or other evidence of financial capability satisfactory to DSTAR such as one of the following:
    - (1) A short-term taxable commercial paper debt rating of not less than one of the following: (i) A1 by Standard and Poor's Corporation; (ii) D1 by Duff & Phelps Credit Rating agency; (iii) F1 by Fitch IBCA Incorporated; or (iv) P1 by Moody's Investor Service; or
    - (2) A short-term tax exempt commercial paper debt rating of not less than any one of the following: (i) A1 by Standard and Poor's Corporation; (ii) V1 by Fitch IBCA Incorporated; or (iii) VMIG1 by Moody's Investors Service; or
    - (3) A federal agency shall be deemed to have an Approved Credit Rating if its financial obligations under the DSTAR Tariff are backed by the full faith and credit of the United States; or
    - (4) A State agency shall be deemed to have an Approved Credit Rating if its financial obligations under the DSTAR Tariff are backed by the full faith and credit of the State; or
    - (5) Small utilities, municipalities, irrigation districts, electric districts, political subdivisions and cooperatives (hereinafter "Small Entity" or "Small Entities") that do not meet the requirements of J.12.a.i.(1) to (4), shall be deemed to have an Approved Credit Rating if DSTAR is provided adequate assurances that the Small Entity is capable of meeting its reasonably foreseeable, short-term, financial obligations. DSTAR will determine the adequacy of each Small Entity's assurances through an objective credit review process, whereby DSTAR shall review the following information, provided by the Small Entity:
      - (a) financial statements for the current and prior three (3) years;
      - (b) balance sheets for the current and prior three (3) years;
      - (c) bank references;
      - (d) the Small Entity's history of meeting its obligations to pay for power which it has acquired to meet its utility obligations; and
      - (e) any other information that will assist DSTAR in making this determination.If the credit review process reveals that the Small Entity has historically met its financial power purchase obligations, and has the capability to meet its reasonably foreseeable, short-term future financial obligations, DSTAR shall deem the Small Entity to have an Approved Credit Rating. DSTAR may revoke the Small Entity's Approved Credit Rating, and request additional assurances under this provision, if DSTAR reasonably determines that grounds for such revocation exist.
    - (6) Another credit rating approved by the DSTAR Board; or
  - ii) Provision of one of the following:
    - (1) Cash on deposit in an interest bearing escrow or trust account maintained at a bank or other financial institution acceptable to DSTAR, that shall be payable on demand to DSTAR; or

- (2) An irrevocable direct pay letter of credit, or other guarantee of payment acceptable to DSTAR, provided by a bank or financial institution acceptable to DSTAR, that shall be executable on demand to the interest of DSTAR; or
  - (3) An unconditional and irrevocable guarantee of payment on demand to DSTAR by an entity that has and maintains a credit rating and/or evidence of financial capability satisfactory to DSTAR, but less than that required in J.12.a.i above; or
  - (4) A performance bond, provided by a bank or financial institution acceptable to DSTAR.
- b) The amount of financial security that shall be provided pursuant to Section J.12.a.ii shall be the estimated cost of all Transmission Services, Ancillary Services, including Balancing Energy, and the DSTAR Administrative Charge provided under the DSTAR Tariff that the SC intends to secure for two (2) Billing Months in the immediate future.
- c) Within thirty (30) Business Days of the termination of an SCA, DSTAR shall release any financial security provided by the SC in the circumstance that there are no sums remaining as amounts payable by the SC to DSTAR.

### **J.13 SCHEDULING COORDINATOR AGREEMENT**

- a) In order to become DSTAR-certified, each SC shall execute an SCA. DSTAR shall provide a pro-forma version of the SCA on the DSTAR Website.
- b) An SCA may be terminated by DSTAR upon written notification to the SC of any of the following:
  - i) Failure by the SC to meet any requirement for certification as an SC;
  - ii) The SC is in default of its financial obligations to DSTAR as described in Appendix G;
  - iii) Noncompliance by the SC with any of the terms and conditions of the DSTAR Tariff and its associated Protocols, and/or Operating Procedures.
- c) Termination of the SCA shall not eliminate any financial obligations to DSTAR incurred by the SC prior to termination of the SCA.
- d) An SCA may be terminated by the SC upon written notification to DSTAR at least forty-five (45) Calendar Days prior to the proposed date of termination, provided that the SC has satisfied all financial obligations to DSTAR prior to termination.

### **J.14 MANDATORY PROVISION OF SC SERVICES**

- a) DSTAR shall use its best efforts to provide for SC services in the event that such services are not readily available through the operation of an open market. In order to accomplish this, DSTAR will issue a request for proposals from or conduct an auction for SCs willing to serve Eligible Customers who otherwise are not represented.
- b) In the event that the DSTAR request for proposals or auction does not acquire the required SC services, such services shall be provided by the provider of last resort established by the applicable regulatory authority. In the event that the applicable regulatory authority has not

established a provider of last resort, DSTAR shall, as soon as practicable, petition the applicable regulatory authority to make such assignment.

**[After the SCA is completed, we need to review Appendix J to make sure they are consistent.]**

**ATTACHMENT J-1 TO APPENDIX J**  
**SCHEDULING COORDINATOR CERTIFICATION**  
**APPLICATION FORM**

All information presented on this form by an applicant for certification as a DSTAR Scheduling Coordinator ("SC") shall remain private and confidential. With the exception of the information identified as "optional", all information must be provided to the satisfaction of DSTAR, along with an application fee of \$5,000, in order for the application to be considered complete.

**A. Applicant Identification Information:**

**1. Legal Name of Applicant:** \_\_\_\_\_

**2. Business Address of Applicant:** \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**3. Authorized Representative of Applicant:**

**a. Name of Representative:** \_\_\_\_\_

**b. Business Address of Representative:** \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**c. Business Telephone Number of Representative:** \_\_\_\_\_

**d. Business Facsimile Telephone Number of Representative:** \_\_\_\_\_

**e. Business E-mail Address of Representative:** \_\_\_\_\_

**4. Type of Business Entity of Applicant (Optional) (e.g., Municipality, Power Marketer, Investor-Owned Utility, Non-Utility Affiliated Generator, Distribution Cooperative, Federal or State Entity, Other - please describe):**

\_\_\_\_\_  
\_\_\_\_\_

**5. If a Corporation or Partnership, State of Incorporation or Registration of Applicant:** \_\_\_\_\_

**B. Proposed Date for Commencement of SC Services:** \_\_\_\_\_

**C. Financial Capability:**

The applicant has attached to this application, or shall supply to DSTAR prior to certification, satisfactory evidence reflecting compliance with section J.12 of Appendix J.

**D. Fulfillment of Duties and Responsibilities:**

1. The applicant certifies that it has either: a) installed and proven to be operational all computer hardware and software, communication equipment and software, and electronic funds transfer systems required for the tasks and functions that it proposes to fulfill, and for the services that it proposes to provide; or b) shall install prior to certification the materials and systems described in D.1.a above. The applicant further states that its equipment and facilities are, or shall be prior to certification, available for examination and inspection by DSTAR. A list of hardware, software, communication equipment and funds transfer systems is attached. In the event that these materials are not yet installed as of the date of this application, a plan and schedule for completion of installation are attached; and,
2. The applicant certifies that it employs on its own behalf, or has contracted with entities that employ, personnel who are fully qualified and competent to perform the duties, responsibilities, tasks and functions required by the DSTAR Tariff for SC certification. The SC agrees that the qualifications of personnel shall be available to DSTAR or review; and,
3. The applicant certifies that it has contractual arrangements with a DSTAR-certified SC or Resource Operations Center (“ROC”) to which it has transferred

responsibility and authority for acting on its behalf pursuant to Appendix Section J.5. The designated SC or ROC so authorized is:

\_\_\_\_\_.

**E. Additional Obligations:**

1. The applicant agrees to comply with all terms and conditions of the DSTAR Tariff and its associated Protocols and Operating Procedures as they are authorized, and may be modified from time to time by the DSTAR governing body and approved by the Federal Energy Regulatory Commission (“FERC” or “Commission”) or other appropriate authority; and,
2. The applicant agrees to provide to DSTAR through written notification as a supplement to this application, any and all changes in the information provided on this application form within three (3) Business Days of becoming aware of the change(s). A change in Authorized Representative shall be noticed immediately; and,
3. The applicant certifies that all information it has provided on this application form is true and correct, to the best of its knowledge and belief.

\_\_\_\_\_ Date: \_\_\_\_\_

Signature of Authorized Representative of Applicant

Name: \_\_\_\_\_

Representing Applicant: \_\_\_\_\_

**ATTACHMENT J-2 TO APPENDIX J**  
**SCHEDULING COORDINATOR SERVICE FILING FORM**

This form shall be used by either an applicant or a Scheduling Coordinator (“SC”) as provided in Appendix J, Section J.10. All information presented on this form by an applicant or an SC shall remain private and confidential. All information must be provided to the satisfaction of DSTAR in order for the form to be acceptable.

**A. SC/Applicant Identification Information:**

1. Legal Name of SC/Applicant: \_\_\_\_\_
2. SC Identification Number: \_\_\_\_\_
3. Business Address of SC/Applicant: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**B. List of Services to be Provided and Transactions Implemented:**

In accordance with the duties and responsibilities contained in Appendix J, Section J.2.b, list the service(s) to be provided and types of transactions to be implemented by SC/applicant (please be specific, e.g., scheduling of Wheeling Through or Wheeling Out transactions, submittal of Balanced Schedules, representation of Ancillary Services Resources, etc.):

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_
- 
- 
- n. \_\_\_\_\_.

**C. List of Generating Units Represented:**

In accordance with the duties and responsibilities contained in Appendix J, Section J.2.b, list the Generating Units for which the SC will be providing scheduling and dispatch services:

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_
- 
- 
- n. \_\_\_\_\_.

**D. Additional Obligations:**

1. The SC/applicant certifies that its customer(s) are Eligible Customers as defined by the DSTAR Tariff; and,
2. The SC/applicant certifies that its Eligible Customer(s) have granted it all necessary agency authority, whether actual, implied or inherent, to enable the SC/applicant to perform all of the tasks and functions required by the service to be provided; and,
3. The SC/applicant certifies that its Eligible Customer(s) have executed agreements required in order to take service on the DSTAR Grid; and,
4. The SC/applicant agrees that it has sole responsibility for settlement and billing for services provided on behalf of its Eligible Customer(s); and,
5. The SC/applicant certifies that all information it has provided on this service filing form is true and correct, to the best of its knowledge and belief.



**Date:** \_\_\_\_\_

\_\_\_\_\_  
**Signature of Authorized SC/Applicant Representative**

**Name:** \_\_\_\_\_

**Representing SC/Applicant:** \_\_\_\_\_

**ATTACHMENT J-3 TO APPENDIX J**  
**NOTICE OF CHANGE TO**  
**SCHEDULING COORDINATOR INFORMATION FORM**

This form shall be used by the Scheduling Coordinator (“SC”) to advise DSTAR of changes to its certification application form pursuant to Appendix J, Section J.3.c. All information presented on this form by an SC shall remain private and confidential. All information must be provided to the satisfaction of DSTAR in order for the notice to be considered complete.

**A. SC Identification Information:**

1. Legal Name of SC: \_\_\_\_\_

2. SC Identification Number: \_\_\_\_\_

3. Business Address of SC: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

**B. Information to be Deleted or Changed:**

**Scheduling Coordinator Certification Application Form**

a. Section: \_\_\_\_\_

b. Delete: \_\_\_\_\_

c. Insert/Add: \_\_\_\_\_

I certify that the information contained herein is true and correct to the best of my knowledge and belief.

\_\_\_\_\_ Date: \_\_\_\_\_

**Signature of Authorized Representative of SC**

**Name:** \_\_\_\_\_

**Representing SC:** \_\_\_\_\_